



## ESG SCREENING REPORT

Date of Order:	Oct 02, 2019
Order Number:	LBA-001-EDDSSR-0000001
Researched Name:	<b>[REDACTED]</b>

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# Risk Assessment

## Report Risk Scores

Environment	92.7%
Human & Social Rights	0.0%
Health & Safety	35.8%

## Risk Scores by

Risk Type	Section	Presence	Score
Environment	Sanctions / Enforcement actions	YES	89.46%
	Legal Cases / Litigations	YES	68%
	Sensitive News	YES	96%
Human & Social Rights	Sanctions / Enforcement actions	NO	0%
	Legal Cases / Litigations	NO	0%
	Sensitive News	NO	0%
Health & Safety	Sanctions / Enforcement actions	NO	0%
	Legal Cases / Litigations	NO	0%
	Sensitive News	YES	40%

# Company Information

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## Company data

Full Company Name	[REDACTED] LLC
Name in local alphabet	N/A
Former company name	N/A
Alias	N/A
Address	[REDACTED] New Jersey
Country	US
Identification number(s)	Tax Nr: [REDACTED]
Incorporation Date	Aug 09, 2006
Listed on Stock Exchange	N/A
Industry Classification	US SIC: 2911 Petroleum Refining
Website	[REDACTED]

## Related Key Entities / Individuals

### Executives / Directors

Name	Birth Date	Role	Board / Committee / Department
Christopher M. [REDACTED]	N/A	Chief Financial Officer	N/A

## Ownership

No information available.

Shareholding Structure

No information available.

# Sanction Lists / Enforcement Actions

Number of Sanction Lists / Enforcement Actions mentioning the target and/or related entities: 4

Names searched (exact spelling):

- [REDACTED]

## Section Risk Scores

Environment	89.46%
Human & Social Rights	0%
Health & Safety	0%

A value of 0% can imply lack of matches or a result below a threshold of confidence.

## Selected Sanctions / Enforcement Actions

Match	[REDACTED] LLC
Source	National Financial Institutions Sanctions and Legal Actions
Date	2019-03-28
Type	Exclusion Type: Prohibition/Restriction
Relevant excerpt	Convicted of Clean Water Act Violation: INELIGIBLE FOR AWARDS TO BE PERFORMED AT THIS FACILITY ONLY: [REDACTED] LLC, [REDACTED] (Violating Facility). The Clean Water Act (33 U.S.C. 1368) forbids any Federal agency from entering into any contract, loan, or benefit to any person or company who- 1. Has been convicted of an offense under the Clean Water Act (33 U.S.C. 1319(c)); AND 2. Intends to use the place where or from which the offense happened (the violating facility) for the contract, loan or benefit; AND 3. Owns, leases or supervises the facility (where or from which the Clean Water Act offense occurred) at the time when a decision about the contract, loan or benefit is made.
Match probability	100%
Risk typology	Environment: 86% Human & Social Rights: 12% Health & Safety: 28%

Match	Public Risk LLC
Source	National Financial Institutions Sanctions and Legal Actions
Date	2019-08-08
Type	Exclusion Type: Ineligible (Proceedings Completed)
Relevant excerpt	<p>System for Award Management Exclusions</p> <p>Public Risk LLC FIRM: Public Risk LLC 100% OF THE EQUITY IS HELD BY PUBLIC RISK LLC, AN ENTITY OWNED BY</p>
Match probability	100%
Risk typology	Environment: 81% Human & Social Rights: 12% Health & Safety: 07%

Match	Public Risk LLC
Source	National Financial Institutions Sanctions and Legal Actions
Date	2019-06-06
Type	Exclusion Type: Ineligible (Proceedings Completed)
Relevant excerpt	<p>System for Award Management Exclusions</p> <p>Public Risk LLC FIRM: Public Risk LLC 100% OF THE EQUITY IS HELD BY PUBLIC RISK LLC, AN ENTITY OWNED BY</p>
Match probability	100%
Risk typology	Environment: 71% Human & Social Rights: 21% Health & Safety: 07%



Match	Front Run LLC
Source	Watchlists and Blacklists
Date	2019-09-20
Type	Prohibition/Restriction
Relevant excerpt	<p>REVIEW THIS INFORMATION PRIOR TO CONTACTING EPA: Convicted of Clean Water Act Violation: INELIGIBLE FOR AWARDS TO BE PERFORMED AT THIS FACILITY ONLY: [REDACTED] (Violating Facility). The Clean Water Act (33 U.S.C. 1368) forbids any Federal agency from entering into any contract, loan, or benefit to any person or company who-</p> <p>1. Has been convicted of an offense under the Clean Water Act (33 U.S.C. 1319(c)); AND 2. Intends to use the place where or from which the offense happened (the violating facility) for the contract, loan or benefit; AND 3. Owns, leases or supervises the facility (where or from which the Clean Water Act offense occurred) at the time when a decision about the contract, loan or benefit is made.</p>
Match probability	100%
Risk typology	<p>Environment: 98%</p> <p>Human &amp; Social Rights: 23%</p> <p>Health &amp; Safety: 19%</p>

# Legal Cases / Litigations

Number of legal cases mentioning the target: 8

Names searched (exact spelling):

- [REDACTED]

## Section Risk Scores

Environment	68%
Human & Social Rights	0%
Health & Safety	0%

A value of 0% can imply lack of matches or a result below a threshold of confidence.

## Selected Cases

Court Name	United States District Court for the District of New Jersey
Type	Case - 3rd Circuit - US District Court Cases
Date(s)	Decided: 2018-06-19 Filed: 2017-07-29
Case information data	United States v. [REDACTED], LLC  2:18-cr-335 WJM United States District Court for the District of New Jersey
Parties	United States Of America, Plaintiff  [REDACTED] LLC, Defendant.
Match probability	100%
Risk typology	Environment: 46% Human & Social Rights: 12% Health & Safety: 11%

Court Name	United States District Court for the District of New Jersey
Type	Cases - 3rd Circuit - US District Court Cases
Date	2018-06-05
Case information data	United States v. <b>[REDACTED]</b> LLC  2:18-cr-335 WJM United States District Court for the District of New Jersey
Parties	United States Of America, Plaintiff  <b>[REDACTED]</b> LLC, Defendant.
Match probability	100%
Risk typology	Environment: 41% Human & Social Rights: 13% Health & Safety: 02%

Court Name	U.S. District Court of New Jersey
Type	Federal Dockets - Dockets United States District Court Criminal
Date	Decided: 2018-06-05 Filed: 2017-08-22
Case information data	USA v. [REDACTED] LLC
Parties	U.S. District - New Jersey 2:18cr335 United States Of America, Plaintiff  [REDACTED], LLC, Defendant.
Match probability	100%
Risk typology	Environment: 68% Human & Social Rights: 08% Health & Safety: 13%

Court Name	New Jersey Superior Court
Type	State Dockets - Dockets New Jersey Superior Court
Date	N/A
Case information data	[REDACTED] INC. VS [REDACTED] LLC
Parties	NJ Superior - Union L00428917 [REDACTED] Inc., plaintiff [REDACTED] LLC, Defendant.
Match probability	100%
Risk typology	Environment: 40% Human & Social Rights: 07% Health & Safety: 05%

Court Name	New Jersey Superior Court
Type	State Dockets - Dockets New Jersey Superior Court
Date	N/A
Case information data	SPINELLA CONTRACTING INC VS FUEL SERVICES ET AL
Parties	NJ Superior - Morris L00132115 Spinella Contracting Inc, Plaintiff Fuel Services LLC, Fuel Services Holdings LLC, Defendants
Match probability	100%
Risk typology	Environment: 40% Human & Social Rights: 11% Health & Safety: 17%

Court Name	New Jersey Superior Court
Type	State Dockets - Dockets New Jersey Superior Court
Date	N/A
Case information data	██████████ LLC VS ██████████ LLC
Parties	NJ Superior - Union L00089418 ██████████ LLC, plaintiff ██████████ LLC a.k.a ██████████ LLC, defendant
Match probability	100%
Risk typology	Environment: 38% Human & Social Rights: 08% Health & Safety: 37%

Court Name	New Jersey Superior Court
Type	State Dockets - Dockets New Jersey Superior Court
Date	N/A
Case information data	<p>[REDACTED] LLC VS [REDACTED]</p> <p>NJ Superior - Union L00200011</p>
Parties	<p>[REDACTED] LLC, [REDACTED] plaintiffs</p> <p>[REDACTED] Ltd Liability, [REDACTED] LLC, defendants</p>
Match probability	100%
Risk typology	<p>Environment: 38%</p> <p>Human &amp; Social Rights: 12%</p> <p>Health &amp; Safety: 04%</p>



Court Name	New Jersey Superior Court
Type	State Dockets - Dockets New Jersey Superior Court
Date	N/A
Case information data	<p>UNION L00234614 VS [REDACTED]</p> <p>NJ Superior - Union L00234614</p>
Parties	<p>[REDACTED] plaintiffs</p> <p>[REDACTED] LLC</p> <p>[REDACTED] LLC,</p> <p>[REDACTED] LLC, defendants</p>
Match probability	100%
Risk typology	<p>Environment: 48%</p> <p>Human &amp; Social Rights: 04%</p> <p>Health &amp; Safety: 38%</p>

# Sensitive News

Number of possibly Sensitive News mentioning the target: 14

Name searched (exact spelling):

- FUEL BIO ONE

## Section Risk Scores

Environment	96%
Human & Social Rights	0%
Health & Safety	40%

A value of 0% can imply lack of matches or a result below a threshold of confidence.

## Selected News Articles

Title	New Jersey's Fuel Bio One pleads guilty for dumping 45,000 gallons of wastewater
Date	June 6, 2018
Source	Biofuels Digest

### Excerpt

#### Section:

New Jersey's Fuel Bio One pleads guilty for dumping 45,000 gallons of wastewater  
 (BIOFUELS DIGEST)

Jun 06, 2018 Biofuels Digest http://www.biofuelsdigest.com - Delivered by Newsletter to New Jersey, an Elizabeth, New Jersey, industrial fuel company admitted discharging more than 45,000 gallons of wastewater from its commercial industrial fuel production facility into the Arthur Kill, a narrow waterway that separates New Jersey from Staten Island, New York. U.S. Attorney Craig Casper and Acting Assistant Attorney General Jeffrey H. Wood announced Fuel Bio One LLC pleaded guilty before U.S. Magistrate Judge James B. Clark III to an information charging it with one count of violating the Clean Water Act, a statute that was enacted to protect, restore, and eliminate water pollution in the United States. U.S. Attorney General Jeff Sessions announced the company admits discharging more than 45,000 gallons wastewater into the

Match probability	94%
Risk typology	Environment: 96% Human & Social Rights: 15% Health & Safety: 15%

News title	Fuel company admits polluting Arthur Kill
Date of news	June 8, 2018
Source	The times of Trenton (New Jersey)
Relevant excerpt	<p>A local fuel company in Elizabeth has admitted to dumping more than 45,000 gallons of contaminated wastewater into the Arthur Kill, federal prosecutors said.</p> <p>Fuel No. One LLC discharged the polluted water into the Arthur Kill, which separates Staten Island's West Shore and New Jersey, on two dates in 2013, said Greg Casper, U.S. attorney for New Jersey.</p> <p>The company pleaded guilty Tuesday in federal court to violating the Clean Water Act, prosecutors said.</p> <p>Fuel No. One has agreed to pay a \$100,000 fine and be placed on probation for two years, authorities said. The company's lawyer could not be reached for comment.</p> <p>"Illegal dumping of pollution into the Arthur Kill not only violates federal law, but also threatens the environmental recovery of the Hudson riverine channel," said Jeffrey D. Wood, acting assistant attorney general for the Justice Department's Environmental and Natural Resources Division.</p>
Match probability	94%
Risk typology	<p>Environment: 91%</p> <p>Human &amp; Social Rights: 25%</p> <p>Health &amp; Safety: 10%</p>

News title **Business Fuel Company Admits Releasing More Than 45,000 Gallons Of Wastewater Into Arthur Kill**

Date of news June 5, 2018

Source Justice Department Documents and Publications

Relevant excerpt

Fuel Oil One LLC pleaded guilty before U.S. Magistrate Judge James B. Clark III to an information charging it with one count of violating the Clean Water Act, a statute that was enacted to prevent, reduce, and eliminate water pollution in the United States.

"Protecting the environment and our natural resources is one of the many ways the office works to keep New Jersey safe for everyone," U.S. Attorney Casperie said. "Today's prosecution and guilty plea ensures that Fuel Oil One will be punished for its past crimes, and the plea agreement puts into place a plan to make sure they don't pollute our waterways in the future."

1 - 2

"Fuel Oil One undertakes a legal pleading fact when they illegally discharge polluted wastewater into the Arthur Kill without any regulatory approvals," Special Agent in Charge Tyler Aron of EPA's Criminal Investigation Division in New Jersey said. "The guilty plea demonstrates EPA's commitment to protecting New Jersey's environment and ensuring that all companies play by the rules to keep pollutants from the state's natural resources."

1 - 2

Fuel Oil One generated wastewater that included methanol, acetone and other contaminants, as a byproduct of its business fuel production at its Elizabeth, New Jersey plant. On September 8, 2013, and November 8, 2013, employees of Fuel Oil One released a total of approximately 45,000 gallons of wastewater into a storm water pit at the Elizabeth plant, causing the pump to operate and, as a result, wastewater to be discharged into the Arthur Kill. A representative of Fuel Oil One admitted to the conduct in court today.

Fuel Oil One has agreed, as part of its plea agreement, to pay \$100,000 and to be placed on a probationary term of five years. During the probation, the company will be required to provide to the court biannual reports of any waste it generates and the steps taken to properly dispose of such waste. Fuel Oil One will also be required to develop an employee training program to ensure that all storage, treatment and disposal of wastewater complies with the Clean Water Act and any other applicable laws. The company will also be required to provide the U.S. Environmental Protection Agency full access to all offices, warehouses and facilities.

Match probability 88%

Risk typology Environment: 95%  
Human & Social Rights: 25%  
Health & Safety: 10%

News title	Fuel company admits to illegal dumping
Date of news	June 7, 2018
Source	Staten Island Advance (New York)
Relevant excerpt	<p>A New Jersey industrial fuel company has admitted to dumping more than 45,000 gallons of contaminated wastewater into the Arthur Kill, federal prosecutors said.</p> <p>Fuel Oil One LLC, of Elizabeth, discharged the polluted water into the Arthur Kill, which separates the island's West Shore and New Jersey, on two dates in 2013, said Greg Casper, U.S. attorney for New Jersey.</p> <p>The company pleaded guilty on Tuesday in New Jersey federal court to violating the Clean Water Act, prosecutors said.</p> <p>1 / 1</p> <p>Fuel Oil One has agreed to pay a \$100,000 criminal fine and be placed on probation for two years, authorities said.</p>
Match probability	89%
Risk typology	<p>Environment: 91%</p> <p>Human &amp; Social Rights: 25%</p> <p>Health &amp; Safety: 10%</p>

News title	Fuel Bio One slapped with fine and five-year's probation for wastewater spill
Date of news	February 12, 2019
Source	Biofuels Digest
Relevant excerpt	<p>Section:</p> <p>Fuel Bio One slapped with fine and five-year's probation for wastewater spill (Biofuels Digest)</p> <p>The company had pleaded guilty in June 2018 to one count of violating the Clean Water Act. Fuel Bio One LLC was sentenced by U.S. District Judge William J. Martin to pay a criminal fine of \$100,000. The company was also sentenced to probation for a period of five years, during which the company must (1) provide biannual reports to the court and the government documenting its waste generation, handling, and disposal practices; (2) develop, implement, and fund an employee training program to ensure that all employees are aware of proper waste handling and disposal practices and to ensure that all storage, treatment, and disposal of wastewater complies with the Clean Water Act; and (3) allow the EPA full access to all offices, warehouses, and facilities owned or operated by the company.</p>
Match probability	88%
Risk typology	<p>Environment: 65%</p> <p>Human &amp; Social Rights: 38%</p> <p>Health &amp; Safety: 10%</p>

News title **Woodward Fuel Company Sentenced for Releasing More Than 45,000 Gallons of Wastewater into Arthur Kill**

Date of news February 7, 2019

Source Justice Department Documents and Publications

Relevant excerpt

Fuel No. One LLC was sentenced by U.S. District Judge William J. Martin to pay a criminal fine of \$100,000. The company was also sentenced to probation for a period of five years, during which the company must provide technical reports to the Court and the Government documenting its waste generation, handling and disposal practices, storage, treatment, and test an employee training program to ensure that all employees are aware of proper waste handling and disposal practices and to ensure that all storage, treatment and disposal of wastewater complies with the Clean Water Act, and allow U.S. Environmental Protection Agency full access to all offices, warehouses and facilities owned or operated by the company.

Fuel No. One LLC previously pleaded guilty before U.S. Magistrate Judge James B. Clark III to an information charging it with one count of violating the Clean Water Act, a statute that was enacted to prevent, reduce, and eliminate water pollution in the United States.

"Protecting the environment and our natural resources is one of the many ways the office works to keep New Jersey safe for everyone," U.S. Attorney Casperie said. "The sentence imposed today as a result of Fuel No. One's previous guilty plea ensures that the company will be punished for its past crimes, and the plea agreement puts in place a plan to ensure they don't pollute our waterways in the future."

According to court documents filed in this case and statements made in court:

Fuel No. One generated wastewater that included methanol, isobutanol and other contaminants, as a byproduct of its isobutanol fuel production at its Elizabeth, New Jersey plant. On Sept. 8, 2015, and Nov. 8, 2015, employees of Fuel No. One released approximately 45,000 gallons of wastewater into a storm water pit at the Elizabeth plant, causing the pump to operate and, as a result, wastewater to be discharged into the Arthur Kill. A representative of Fuel No. One admitted to this conduct in court.

Match probability 83%

Risk typology Environment: 92%  
Human & Social Rights: 40%  
Health & Safety: 32%

News title	Who is in Trouble with the <b>FDL</b>
Date of news	October 22, 2010
Source	JD Supra
Relevant excerpt	<p>Frederick, 45, pleaded guilty in State Superior court when charged with theft, theft of property and criminal trespass in the case involving the check issued to the former employee, Fuel Oil One LLC of Elizabeth where he worked as one of the officers of the company.</p>

Match probability	79%
Risk typology	Environment: 10% Human & Social Rights: 10% Health & Safety: 10%

News title	Criminal Enforcement/Clean Water Act: U.S. Department of Justice Announces Guilty Plea by <b>Frederick Fuel Company</b>
Date of news	June 21, 2018
Source	JD Supra

Relevant excerpt	<p>On 21, 2018, the U.S. Dept. of Justice announced that Frederick Fuel Company, Inc. (FFC) pleaded guilty to an alleged Clean Water Act violation. FFC allegedly discharged over 45,000 gallons of wastewater from its commercial industrial gas production facility into the Arthur Kill estuary that separates New Jersey from Staten Island.</p>
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Match probability	77%
Risk typology	Environment: 73% Human & Social Rights: 15% Health & Safety: 15%



News title	Man Tries to Cash <b>FD</b> Check
Date of news	December 8, 2010
Source	JD Supra
Relevant excerpt	<p>Summary: Eric Freedman, a resident of Hackensack Township, received a check of \$128,174 from the FD that was issued by his former company Fuel the One LLC. Freedman subsequently attempted to cash the check. As a result, he was charged with theft and theft of property not, received or delivered by mistake. Freedman pleaded guilty to all charges.</p>

Match probability	77%
Risk typology	Environment: 10% Human & Social Rights: 10% Health & Safety: 10%

News title	Criminal Enforcement: U.S. Department of Justice Announces Sentencing for <b>Elizabeth, New Jersey, Industrial Fuel Company</b> for Alleged Violation of the Clean Water Act
Date of news	February 20, 2019
Source	JD Supra

Relevant excerpt	<p>Feb. 19, 2019The United States Department of Justice (DOJ) issued a February 19, 2019 press release stating that Fuel the One, LLC (FTO) had been sentenced for an alleged violation of the Clean Water Act. The Elizabeth, New Jersey, Industrial Fuel Company was sentenced for allegedly discharging more than 40,000 gallons of wastewater from its commercial industrial fuel production facility into the Arthur Kill waterway.</p> <p>1 - 1</p> <p>On Sept. 8, 2018, and Nov. 8, 2018, employees of Fuel the One released approximately 40,000 gallons of wastewater into a storm water pit at the Elizabeth plant, causing the pump to operate and, as a result, wastewater to be discharged into the Arthur Kill. A representative of Fuel the One admitted to this conduct in court yesterday. A copy of the press release can be found here.</p>
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Match probability	75%
Risk typology	Environment: 95% Human & Social Rights: 20% Health & Safety: 20%

News title	Business Training Inc. owner admits cashing \$158K check meant for ex-boss
Date of news	October 20, 2010
Source	Home News Tribune (East Brunswick, New Jersey)
Relevant excerpt	<p>Under a plea deal negotiated between Morris County Assistant Prosecutor Robert Schwartz and attorney Joseph Thomas Brucato, Freedman's anticipated sentence is up to 90 days in the county jail and a period of probation. Sentencing was set for Dec. 3.</p> <p>The \$158,176 check was intended by Freedman's former employer, Fuel No. One LLC, of Elizabeth, as 900 for credits. As a longtime officer of the company, the check mistakenly was mailed to him and he cashed it.</p>
Match probability	62%
Risk typology	Environment: 18% Human & Social Rights: 24% Health & Safety: 18%

News title	Business Training Inc. owner admits cashing \$158K check meant for ex-boss
Date of news	October 20, 2010
Source	Daily Record (Morristown, New Jersey)
Relevant excerpt	<p>Under a plea deal negotiated between Morris County Assistant Prosecutor Robert Schwartz and attorney Joseph Thomas Brucato, Freedman's anticipated sentence is up to 90 days in the county jail and a period of probation. Sentencing was set for Dec. 3.</p> <p>The \$158,176 check was intended by Freedman's former employer, Fuel No. One LLC, of Elizabeth, as 900 for credits. As a longtime officer of the company, the check mistakenly was mailed to him and he cashed it.</p>
Match probability	77%
Risk typology	Environment: 18% Human & Social Rights: 24% Health & Safety: 18%

News title	Copyright 2019 Newark Morning Ledger Co. All Rights Reserved
Date of news	February 09, 2019
Source	The Star-Ledger (Newark, New Jersey)
Relevant excerpt	<p>An Elizabeth industrial fuel company that dumped over 40,000 gallons of wastewater into the Arthur Kill must pay a criminal fine of \$100,000 as part of a sentence handed down Thursday by a U.S. District Judge.</p> <p>Fuel Oil One LLC previously pleaded guilty to one count of violating the Clean Water Act for dumping the wastewater into a North water pit at its plant that discharged it into the Arthur Kill, the waterway that separates New Jersey and Staten Island in 2013, U.S. Attorney Craig Caperale said in a release.</p> <p>The Clean Water Act is a statute created to prevent, reduce, and eliminate water pollution in the country.</p>
Match probability	82%
Risk typology	<p>Environment: 95%</p> <p>Human &amp; Social Rights: 38%</p> <p>Health &amp; Safety: 40%</p>

# Disclaimer

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## IMPORTANT NOTICE

Please note that our research is conducted on the basis of the information provided by the client (exact spelling). The more information is provided, the more accurate the research will be. The additional information provided facilitates the identification of the target, might help distinguishing it from perfect or partial homonyms and might avoid misrepresented information. For individuals, details such as date of birth, place of residence and occupation might prove useful.

For companies, the exact registered name in the local language, legal type, registration date and number and the company's business activity are important details.

The sources of information used for this report are several international databases. In no way they are deemed exhaustive or complete.

The categorization by risk type and the scoring of the items retrieved (news articles, legal cases, enforcement actions, etc.) is done automatically by a software using multiple layers of data processing and several algorithms of machine learning. The way the software works is subject to change without prior notice.

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